FORM PTO-1390 (Modified) (REV 11-98) U.S. DEPART F COMMERCE PATENT AND TRADEMARK OFFICE DOCKET NUMBER 00357-181002 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE PCT/US99/14869 ~ July 9, 1999 July 23, 1998 TITLE OF INVENTION ENCAPSULATION OF WATER SOLUBLE PEPTIDES 🦯 APPLICANT(S) FOR DO/EO/US IGNATIOUS, Francis, X. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. 3. \boxtimes This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 4. 5. X A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) is transmitted herewith (required only if not transmitted by the International Bureau). a. 🛛 ъ. 🗆 has been transmitted by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). c. 🗆 A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. \boxtimes A copy of the International Search Report (PCT/ISA/210). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are transmitted herewith (required only if not transmitted by the International Bureau). b. □ have been transmitted by the International Bureau. c. 🗆 have not been made; however, the time limit for making such amendments has NOT expired. d. 🗆 have not been made and will not be made. ij A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 10. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 11. \boxtimes A copy of the International Preliminary Examination Report (PCT/IPEA/409). A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 12. (35 U.S.C. 371 (c)(5)). Items 13 to 20 below concern document(s) or information included: 13. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 14. 15. A FIRST preliminary amendment. 16. A SECOND or SUBSEQUENT preliminary amendment. 17. A substitute specification. 18. A change of power of attorney and/or address letter. 19. \boxtimes Certificate of Mailing by Express Mail 20. Other items or information: "Express Mail" mailing label number ${ extstyle E}$ Date of Deposit, nited with I hereby certify that this gaper or the in the United States Poctal Service "Example of the United Service "Example of the United States Poctal Service "Example of the United Service "Example of th Office to tal. cate indicated above Addressee" service under 37 CFR : : and is addressed to Assistant Commissioner For Patents. Washington D.C.

21. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Nether international perlimatory externations and international perlimatory externations and international Search Report not prepared by the EPO or IPO. St.,000.00 International perlimatory externations and international Search Report not prepared by the EPO or IPO. St.,000.00 International perlimatory externations for (27 CFR 1.482) not paid to USPTO but international Search Report prepared by the EPO or IPO. St.,000.00 International perlimatory externations for (27 CFR 1.482) not paid to USPTO on the control of the person of PCT Article 33(1)-(4). St.,000.00 ENTER APPROPRIATE BASIC FEE AMOUNT = St.,000.00 ENTER APPROPRIATE BASIC FEE AMOUNT = St.,000.00 SUBTOTAL St.,000.00 SUBTOTAL St.,000.00 Multiple Dependent Claims (a st.,000.00 Multiple Dependent Claims (sheek if applicable). TOTAL OF ABOVE CALCULATIONS = \$13,64.00 Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Stutement and the st.,000.00 SUBTOTAL = \$1,364.00 Processing fee of \$130.00 for furnishing the feel filing by the st.,000.00 SUBTOTAL = \$1,364.00 Processing fee of \$130.00 for furnishing the feel filing by small entity, if applicable. Verified Small Entity Stutement and the st. of the st.,000.00 SUBTOTAL = \$1,364.00 Processing fee of \$130.00 for furnishing the feelight translation later than and the st.,000.00 SUBTOTAL = \$1,364.00 Processing fee of \$130.00 for furnishing the feelight translation later than and the calculation of the st.,000.00 TOTAL NATIONAL FEE = \$1,364.00 Processing fee of \$130.00 for furnishing the feelight translation later than and the calculation of the st.,000.00 TOTAL FEES ENCLOSED = \$1,364.00 TOTAL FEES ENCLOSED = \$1,364.00 TOTAL FEES ENCLOSED = \$1,364.00 A check in the amount of \$1,364.00 to cover the above fees is enclosed. TOTAL FEES ENCLOSED = \$1,364.00 TOTAL FEES ENCL	U.S. A	PPLICATION NO. (IF KNOWN, SEE THE REPORT INTERNATIONAL APPLICATION NO. PCT/US99/14869								ATTORNEY'S DOCKET NUMBER 00537-181002			
BASIC MATIONAL FEE (37 CFR 1.492 (a) (1) - (5): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.494 (a)(2) paid to USPTO and international Search Report prepared by the EPO or IPO	21.	The fol	lowing	fees are s	ubmitt	ed:.	 				CA	LCULATION	S PTO USE ONLY
USFTO but hiteration Search Report prepared by the EPO or JPO \$860.00	BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO												
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO	☐ International preliminary examination fee (37 CFR 1.482) not paid to												
but all claims did not satisfy provisions of PCT Article 33(1)-(4)		☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO											
and all claims satisfied provisions of PCT Article 33(1)-(4)		☐ International preliminary examination fee paid to USPTO (37 CFR 1.482)											
Surcharge of \$130.00 for furnishing the oath or declaration later than		☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)											
months from the earliest claimed priority date (37 CFR 1.492 (e)). CLAIMS NUMBER FILED NUMBER EXTRA RATE Total claims 48 -20 28 x \$18.00 \$504.00 Independent claims 3 -3 0 x \$80.00 \$0.00 Multiple Dependent Claims (check if applicable).		ENTER APPROPRIATE BASIC FEE AMOUNT =										\$860.00	
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